

58-17-01

08/15/01
J1042 U.S. PTO

Patent
Attorney Docket: 266/263

JC986 U.S. PTO
09/13/01
08/15/01

To: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL - UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

Inventor(s): O'Connor, Stephen D. et al.

Title: OPTICAL DEVICES WITH FLUIDIC SYSTEMS

I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):

29 Page(s) of Written Description
7 Page(s) Claims
1 Page(s) Abstract
21 Sheets of Drawings ☐ Informal ☒ Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

☐ Declaration
☐ Power of Attorney ☐ Separate ☐ Combined with Declaration
☐ Assignment to _____ and assignment cover sheet
☐ Certified Copy of Priority Document No(s): _____
☐ Information Disclosure Statement w/PTO 1449 ☐ Copy of Citations
☐ Preliminary Amendment
Request and Certification under 35 U.S.C.
§ 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35
☒ Return Postcard
☐ Other: _____

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL360341305US
Express Mail Label No.

August 15, 2001
Date of Deposit
Paper

Brian Weber
Name of Person Mailing Paper
Brian Weber
Signature of Person Mailing

III. PRIORITY

- ☒ Priority of application Serial No. 60/226,174 filed on August 15, 2000 in United States Patent & Trademark Office is claimed under 35 U.S.C. § 119(e).

IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

- ☒ Applicant claims small entity status pursuant to 37 CFR § 1.27

BASIC FILING FEE:						\$710.00
Total Claims	41	-	20	=	21 x	\$18.00 0
Independent Claims	7	-	3	=	4 x	\$80.00 0
Multiple Dependent Claims	\$270	(if applicable)			<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS						\$1,408.00
Reduction by 1/2 for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28.						\$704.00
Misc. Filing Fees (Recordation of Assignment -- \$40)						\$0.00
TOTAL FEES DUE HEREWITH						\$704.00

V. METHOD OF PAYMENT OF FEES

- ☐ A check in the amount of ____.
- ☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of ____.
- ☒ This application is being filed without fee or Declaration under 37 CFR § 1.53.

VI. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. **12-2475** for the following:

- ☐ 37 CFR § 1.16 - (Filing fees and excess claims fees)
- ☐ 37 CFR § 1.17 - (Any application processing fees)
- ☐ 37 CFR § 1.21 - (Assignment recording fees)

VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

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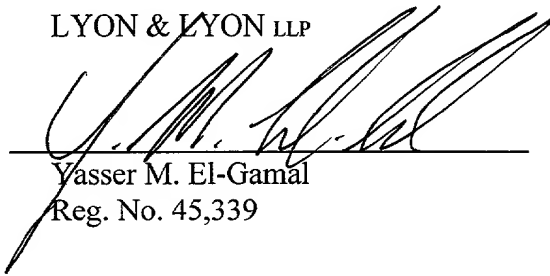
Please direct all inquiries to Michael J. Wise, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

Dated: August 15, 2001

By: _____


Yasser M. El-Gamal
Reg. No. 45,339